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LUKE A. KILYK* (PA, DC) LEONARD D. BOWERSOX JASBIR SINGH MATTHEW T. GILL RALPH T. WEBB* (DC, TX, LA) SUSANNE M. HOPKINS

3603-E Chain Bridge Road FAIRFAX, VA 22030 WARRENTON OFFICE 53 A East Lee Street Warrenton, Virginia 20186

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DATE:

February 3, 2005

TO:

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

RE:

U.S. Patent Application No. 09/075,392

Filed: May 8, 1998 Confirmation No.: 3603

Attorney Docket No.: 5010-237

FROM:

Leonard D. Bowersox, Esq.

(Rey. No.

URGENT

FAC. NO.:

(703) 872-9306

NUMBER OF PAGES (INCLUDING THIS COVER SHEET): 24

Items Attached:

Transmittal Form (1 page)

Request for Initialed Form PTO-1449 (3 pages)

Exhibits A-H (19 pages)

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April D. Brandon

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Please type a plus sign (+) inside this box PTO/SB/21 (08-00) Approved for use through 10/31/2002. QM8 0851-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL 09/074,392 Application Number **FORM** Filing Date May 8, 1998 (to be used for all correspondence after initial filing) First Named Inventor John G. ATWOOD **Group Art Unit** 3821 **Examiner Name** Firmin BACKER Total Number of Pages in This Submission Attorney Docket Number 5010-237 ENCLOSURES (check all that apply) Appeal Communication to Board of Fee Transmittal Form Drawing(s) Appeals and interferences Appeal Communication to Group Fee Attached Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Amendment / Reply Petition Proprietary Information Petition to Convert to After Final Status Letter aProvisional Application Power of Attorney, Revocation Change of Correspondence Address Affidavits/declaration(s) Acknowledgement Postcard Other Enclosure(s) (please Terminal Disdaimer Extension of Time Request identify below): Express Abandonment Request Facsimile Transmittal Request for Refund Coversheet; Request for Initialed Form PTO-1449 (3 pages); and Information Disclosure Statement CD, Number of CD(s) Exhibits A-H (19 pages) Certified Copy of Priority After Allowance Communication to Group Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts Customer No. 35411 Remarks under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Leonard D. Bowersox, Esq. Ren.# (33,226) Individual name Signature Date February 3, 2005 **CERTIFICATE OF MAILING**

Ward H. Brandon This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the individual case. Any comments on the amount of time you require to complete the individual case. Any comments on the amount of time you require to complete the form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Date

February 3, 2005

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April D. Brandon
Name (Print)

Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 0 3 2005

Application No.

09/075,392

Confirmation No. 3603

Applicant

ATWOOD et al.

Filed Title May 8, 1998
IMPROVEMENTS IN THERMAL CYCLER FOR PCR

TC/A.U.

3621

Examiner

Firmin BACKER

URGENT

Docket No.

5010-237

REQUEST FOR INITIALED FORM PTO-1449

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that a copy of each of the following documents be provided to the undersigned: (1) initialed Form PTO-1449 submitted with the Information Disclosure Statement (IDS) filed in the above-identified application on November 16, 1998; and (2) initialed Form PTO-1449 submitted with the IDS filed in the above-identified application on September 27, 2002. The requested documents were not received by Applicants.

On November 16, 1998, an IDS was filed in the above-identified application. Enclosed please find: Exhibit A – a copy of a postcard receipt date-stamped "November 18, 1998" indicating filing of an IDS, Form PTO-1449, a copy of an International Search Report, and copies of references cited, one (1) page; Exhibit B – "Updated Information Disclosure Statement and Certification Under 37 C.F.R. § 1.98(e)" with a Certificate of Mailing indicating that the Updated IDS was mailed on November 16, 1998, one (1) page; Exhibit C – Form PTO-1449

Request For Initialed Form PTO-1449 U.S. Application No. 09/075,392 Page 2 of 3

listing references cited, one (1) page; and Exhibit D – International Search Report, four (4) pages. As can be seen from Exhibits A-D, an IDS was filed in the above-identified application on November 16, 1998. An initialed copy of Form PTO-1449 indicating consideration of the listed references is respectfully requested.

On September 27, 2002, an IDS was filed in the above-identified application. Enclosed please find: Exhibit E – a copy of a postcard receipt date-stamped "October 7, 2002" indicating filing of an IDS, Form PTO-1449, a copy of an International Search Report, and copies of references cited, one (1) page; Exhibit F – "Information Disclosure Statement" with a Certificate of Mailing indicating that the IDS was mailed on September 27, 2002, one (1) page; Exhibit G – Form PTO-1449 listing references cited, one (1) page; and Exhibit H – International Search Report, nine (9) pages. As can be seen from Exhibits E-G, an IDS was filed in the above-identified application on September 27, 2002. An initialed copy of Form PTO-1449 indicating consideration of the listed references is respectfully requested.

Applicants respectfully request that the subject documents be forwarded to the undersigned prior to the non-extendible due date for payment of the issue fee in the above-identified application, of February 16, 2005.

Request For Initialed Form PTO-1449 U.S. Application No. 09/075,392 Page 3 of 3

Should any fee(s) be due in connection with the filing of this request, please charge such fee(s) to USPTO Deposit Account No. 50-0925.

-Respectfully submitted,

Leonard D. Bowersox Registration No. 33,226

KILYK & BOWERSOX, P.L.L.C.

3603-E Chain Bridge Road Fairfax, Virginia 22030

Tel.: (703) 385-9688 Fac.: (703) 385-9719

Enclosures: Facsimile Transmittal Coversheet

Transmittal Form Exhibits A-H

A

•	RE: Ser. No. 09/075,392	Filing Date:	5-8-98
	Title:	· ·	
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BT-4522

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re;	Application of John G. Atwood et al.)	
Serial 1	No.: 09/075,392)Group Art Unit: 2764	RECEIVED CENTRAL PAX CENTEI
Filed:	May 8, 1998)Examiner:)	FEB 0 3 2005
For:	IMPROVEMENTS IN THERMAL CYCLER FOR PCR)	
Asst. C	Commissioner for Patents		

<u>UPDATED INFORMATION DISCLOSURE STATEMENT</u> <u>AND CERTIFICATION UNDER 37 C.F.R. 1.98(e)</u>

Dear Sir:

Washington, DC 20231

Submitted herewith are references as listed on the attached form PTO-1449. Also enclosed is a copy of the International Search Report that cites these references. The undersigned certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Consideration of these references by the examiner is respectfully requested.

Respectfully submitted,

David Aker

Attorney for the Applicant

Reg. No. 29,277

The Perkin-Elmer Corporation 761 Main avenue Norwalk, Connecticut 06850-0199 (203) 761-5123 November 16, 1998

Signature

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According to International Patent Classification (IPC) or to both national classification and IPC

Minimum documentation searched (classification system followed by classification symbols) IPC 5 B01L F25B H01L G01R

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 801L7/00 C120

C. DOCUMENTS CONSIDERED TO BE RELEVANT

3 June 1992

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Form PCT/I3A/210 (second sheet) (July 1992)

cited in the application

see page 7, line 19 - line 30 see page 8, line 19 - line 48 see page 8, line 49 - line 51 see page 9, line 48 - page 10, line 12 see page 15, line 21 - line 50; figures

see page 16, line 7 - line 39; figures

see page 20, line 34 - page 21, line 29 see page 22, line 41 - line 57; figures

B. FIELDS SEARCHED

Category •

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H01L35/28

PAGE 89 tional Application No rCT/US 98/06189 F25B21/02 G01R27/20 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consuled during the international search (name of data base and, where practical, search terms used) Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. EP 0 488 769 A (PERKIN ELMER CETUS INSTR) 1 34-38 14,39 2,22 31 25

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^A	see page 23, line 16 - line 3 see page 23, line 40 - line 4 15.19	9; figure 15 7; figures	25
A	see page 35, line 19 — page 3 claim 99	6, line 9;	32
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	Fax: (+31-70) 340-3015	Hocquet, A	

		Ional Application No
C.(Continu	eation) DOCUMENTS CONSIDERED TO BE RELEVANT	rcT/US 98/06189
Category *		Relevant to calm No.
A	EP 0 342 155 A (AGROGEN STIFTUNG ;SEYFFER & CO (CH); KNOPF ULRICH CHRISTIAN (CH)) 15 November 1989 see column 3, line 12 - line 21; figures	39
	2,3 see column 6, line 38 - column 7, line 20; figures 2-7	
X	EP 0 642 831 A (HOFFMANN LA ROCHE) 15 March 1995	1
A A	see column 3, line 27 - column 5, line 7 see column 5, line 34 - column 6, line 16	2
A	EP 0 236 069 A (CETUS CORP) 9 September 1987 see page 9, line 20 - page 10, line 10; figures 1,3	9
Υ	DE 40 37 955 A (SEIDL BERND) 6 June 1991 see column 1, line 3 - line 21; claims 1-7 see column 1, line 47 - column 2, line 18; figures	34-38
A Y	WO 93 09486 A (HYBAID LTD) 13 May 1993 see page 4, line 10 - line 17; claims 1,3,6 see page 7, line 1-5 see page 7, line 18 - line 35	3-6 34-38
A	US 5 410 130 A (BRAUNSTEIN ZACHARY L) 25 April 1995 see column 4, line 7 - line 34; figure 2	2,22,23
A	US 4 865 986 A (COY RICHARD A ET AL) 12 September 1989 see column 2, line 34 - line 58 see column 3, line 64 - column 4, line 5	2
A	US 5 040 381 A (HAZEN WILLIAM A) 20 August 1991 see column 1, line 27 - line 40 see column 2, line 3 - line 67; figure 1	9,10
э, х	WO 97 21089 A (PERKIN ELMER CORP) 12 June 1997 see page 8, 11ne 10 - page 9, 11πe 10; f1gures 1,2	1,25
ť	EP 0 642 828 A (HOFFMANN LA ROCHE) 15 March 1995 see column 7, line 12 - column 8, line 40; figures 7-12	1,25
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C.(Continu	ALLON) DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/US 98/06189
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Υ	EP 0 640 828 A (HOFFMANN LA ROCHE) 1 March 1995 see column 7, line 23 - line 35; figures	1,25
Y	14-17 see column 15, line 1 - line 13	25
Y	WO 95 27196 A (SANADI ASHOK R) 12 October 1995 see page 1, paragraph 2 see page 21, paragraph 2 - page 22, paragraph 1; figures 8,8A	1,25
A	US 5 248 934 A (ROVETI DENES K) 28 September 1993 see column 1, line 11 - line 17 see column 2, line 58 - line 68 see column 3, line 20 - line 23	26-28,40
A	US 4 881 038 A (CHAMPLIN KEITH S) 14 November 1989 see column 2, line 5 - line 15 see column 3, line 65 - column 4, line 4	28,40
A	US 5 441 576 A (BIERSCHENK JAMES L ET AL) 15 August 1995 see column 1, line 32 - line 59; figures see column 3, line 14 - line 47	28,40
A	US 5 431 021 A (GWILLIAM SCOTT B ET AL) 11 July 1995 see column 3, line 37 - line 39 see column 9, line 14 - line 40 see column 10, line 2 - column 12, line 35; figures 6A,9	30
	US 5 385 022 A (KORNBLIT LEVY) 31 January 1995 see column 1, 11ne 20 - 11ne 46 see column 6, 11ne 32 - 11ne 48	30
\	EP 0 362 173 A (AFFARSVERKET FFV) 4 April 1990 see column 1, line 47 - column 3, line 9	31
	US 5 600 575 A (ANTICOLE ROBERT B) 4 February 1997 see column 6, line 51 - column 7, line 58; figures 4,5	31
	US 4 639 883 A (MICHAELIS THEODORE 0) 27 January 1987 see column 2, line 6 - line 16 see column 3, line 33 - column 4, line 23	31
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INTER ATIONAL SEARCH REPORT

PAGE 12

tional Application No | PCT/US 98/06189 C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Category * | Citation of document, with indication, where appropriate, of the relevant passages Fielovani lo cialm No. A US 5 237 821 A (OKUMURA TAKUJI ET AL) 24 August 1993 32 see column 1, line 21 ~ line 55; figure 18 Form PCT/ISA210 (continuation of second sheet) (July 1992)

page 4 of 4



Attorney's Docket No. 17759-033001	Express Mail Label No.	Mailing Date September 27, 2002	For PTO Use Only
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Attorney's Docket No.: 07759-033001 / 4480 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Atwood et al.

Art Unit : 2155

Serial No.: 09/075,392

Examiner: Firmin Backer

Filed

: May 8, 1998

Title

: IMPROVEMENTS IN THERMAL CYCLER FOR PCR

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed. Also enclosed is a copy of the International Search Report from related case PCT/US98/06189 which cites the enclosed references.

This filing is being made with the filing of a Request for Continued Examination. No fee is required.

Respectfully submitted,

Richard P. Ferrara Reg. No. 30,632

Fish & Richardson P.C. 45 Rockefeller Plaza, Suite 2800 New York, New York 10111 Telephone: (212) 765-5070

Facsimile: (212) 258-2291

30118335.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CPR \$1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

F. SUCHANER PATRICIA

Typed or Printed Name of Person Signing Certificate

Sheet	1	of	1
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce	Attorney's Docket No.	Application No.	
	Patent and Trademark Office	07759-033001	09/075,392	
by Ar	closure Statement	Applicant Atwood et al.		
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(37 CFR §1.98(b))		May 8, 1998	2155	

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	Foreign Patent Documents or Published Foreign Patent Applications										
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	AL	0 640 828	03/01/1995	EP							
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EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with

Substitute Disclosure Form (PTO-1449)



Monel Application No PCT/US 98/06189

CLASSIFICATION OF SUBJECT MATTER PC 6 B01L7/00 C120 C12Q1/68

H01L35/28

F25B21/02

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According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system lollowed by classification symbols)

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Decumentation searched other than minimum documentation to the extent that such documents are included in the Betids searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	·
Category •	Cliation of document, with indication, where appropriate, of the relevant passages	Relevant to daim No.
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	3 June 1992	
•	cited in the application	
	see page 7. line 19 - line 30	j
	see page 8, line 19 - line 48	ŀ
A	see page 8, line 49 - line 51	1 2
Α	see page 9, line 48 - page 10, line 12	34-38
A	see page 15, line 21 - line 50; figures	14,39
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A	see page 16, line 7 - line 39; figures	2,22
	9,13	
Α	see page 20, line 34 ~ page 21, line 29	31
	see page 22, line 41 - line 57; figures	
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A	see page 23, line 16 - line 39; figure 15	25
	see page 23, line 40 - line 47; figures	
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Α	see page 35, line 19 - page 36, line 9;	32
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X Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

- Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" sanier document but published on or after the international flune date
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Hocquet, A

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INTERNATIONAL SEARCH REPORT	PCT/US 98/06189
Box I Observations where certain claims were found unsearchable (Continu	ration of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under A	Article 17(2)(4) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, n	an ely:
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the an extent that no meaningful International Search can be carried out, specifically:	ne prescribed requirements to such
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Box II Observations where unity of invention is lacking (Continuation of Item	2 of first sheet)
This International Searching Authority found multiple inventions in this international application	1, as follows:
1. CLAIMS: 01+24, 33-39	
FOR FURTHER INFORMATION PLEASE SEE FORM PCT/ISA/206)	MAILED 17.07.98.
As all required additional search loce were timely paid by the applicant, this internation searchable claims.	•
2. As all searchable claims could be searched without effort justifying an additional lee, if of any additional lee, if	this Authority did not invite payment
As only some of the required additional search fees were timely paid by the applicant, covers only those claims for which fees were paid, specifically claims Nos.;	, this International Search Report
4. No required additional search less were timely paid by the applicant. Consequently, it restricted to the invention first mentioned in the claims; it is covered by claims Nos.:	zi Internation al Search Report is
<u> </u>	accompanied by the applicant's protest.
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